



DOCKET NO. NK20

TFW 1653

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: KIM ET AL.

US SERIAL NO. 10/564,274

US FILING DATE: 10 JANUARY 2006

TITLE: NOVEL CANDIDA TROPICALIS CJ-  
FID(KCTC 10457BP) AND  
MANUFACTURING METHOD OF  
XYLITOL THEREBY

EXAMINER:

ART UNIT: 1653

CONFIRM. NO.: 7381

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

TRANSMITTAL

Transmitted herewith for filing in the referenced case are the following documents:

- 1) Statement under 3.73(b) and copy of assignment attached thereto.

Respectfully submitted,

Date April 14, 2006

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37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 14, 2006.

Signature: Tracy E. Crispino  
Name: Tracy E. Crispino



Docket No. NK20

PTO/SB/96 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: CJ Corp.

Application No./Patent No.: 10/564,274 Filed/Issue Date: JANUARY 10, 2006

Entitled: Novel candida tropicalis CJ-FID(KCTC 10457BP) and manufacturing method of xylitol thereby

CJ Corp., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

Jung-hoon KIM; Young-rok SOHN; Won-hwa  
LEE; Seung-won PARK; Kang-june PARK; Ki-

1. From: chang LEE; Jae-ka LIM To: CJ CORP.

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Shon Kyung Shik

Signature

Feb. 10, 2006

Date

SHON KYUNG SHIK

Printed or Typed Name

82.2.726.8287

Telephone Number

CJ CORP. CEO

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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## ASSIGNMENT

WHEREAS, the undersigned inventor(s) (referred to, collectively, if more than one, as "Assignor") have made an invention entitled **Novel candida tropicalis CJ-FID(KCTC 10457BP) and manufacturing method of xylitol thereby**, which was described in **Korean Patent Application No.10-2003-0051593 filed on July 25, 2003 and Korean Patent Application No. 10-2004-0033733 filed on May 13, 2004**, now being filed as a United States Letters Patent under

WHEREAS, **CJ CORP.**, a Korean Corporation ("Assignee") having a place of business at **CJ Bldg., 500, Namdaemunno 5-ga, Jung-gu, Seoul 100-749 Republic of Korea**, desires to acquire all right, title and interest in and to the above identified invention and application;

NOW, THEREFORE, Assignor, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, convey and transfer unto Assignee all right, title and interest in and to the above identified invention and application, together with all corresponding foreign applications and patents which may be filed thereon, including the right to claim priority from the above identified United States application; and Assignor hereby agrees that Assignor will sign all lawful papers, including, without limitation, all divisional, continuation, renewal, extension and reissue applications, and make all rightful oaths in execution thereof, and will generally do everything possible to aid Assignee, its successors, assigns and nominees to obtain and enforce proper protection for the invention in all countries. this obligation to be binding upon Assignor (each and severally, if more than one) and upon Assignor's personal representative or other legal successor.

IN TESTIMONY WHEREOF, the undersigned Assignor (and each of them, if more than one) has signed below.

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